NITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Offi-Address: COMMISSIONER FOR PATENTS

PAPER NUMBER

CONFIRMATION NO.

3421

P O Box 1450 Alexandria, Virgima 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

29737 7590

APPLICATION NO.

07/23/2008

FILING DATE

SMITH MOORE LLP P.O. BOX 21927 GREENSBORO, NC 27420

EXAMINER PUTTLITZ, KARL J

ARTHNIT 1621 DATE MAILED: 07/23/2008

ATTORNEY DOCKET NO.

FIRST NAMED INVENTOR

10/541.647 11/18/2005 Torsten Balduf 5003073.066US1

TITLE OF INVENTION: PURIFICATION OF A MONOMER BY EXTRACTION WITH A PHASE FORMER AND CRYSTALLIZATION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$1740	10/23/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED.</u> THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

appropriate. All further indicated unless corrects maintenance fee notifica	correspondence includir ed below or directed oth	or transmitting the 1880 ig the Patent, advance of nerwise in Block 1, by (a	rders and notification of n a) specifying a new corres	naintenance fees wi pondence address;	II be ma and/or (b	iled to the current i) indicating a sepa	correspondence address as rate "FEE ADDRESS" for
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29737 SMITH MOOI P.O. BOX 2192' GREENSBORO	7	/2008		Conti	ificata of	Mailing or Trans	
							(Depositor's name)
							(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTORN	EY DOCKET NO.	CONFIRMATION NO.
10/541,647 TITLE OF INVENTION	11/18/2005 E: PURIFICATION OF A	MONOMER BY EXTR	Torsten Balduf ACTION WITH A PHASI	FORMER AND C		073.066US1 LLIZATION	3421
APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE 7	POTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$0 \$1740		10/23/2008
EXAM	IINER	ART UNIT	CLASS-SUBCLASS				
PUTTLITZ	Z, KARL J	1621	562-600000	,			
"Fee Address" ind PTO/SB/47; Rev 03-0 Number is required. 3. ASSIGNEE NAME A	ondence address (or Cha 8/122) attached. ication (or "Fee Address 12 or more recent) attach ND RESIDENCE DATZ less an assignce is ident h in 37 CFR 3.11. Comp	nge of Correspondence Indication form ed. Use of a Customer A TO BE PRINTED ON	2. For printing on the p (1) the names of up to or agents OR, alternativ (2) the name of a single registered attorney or a 2 registered patent attoe listed, no name will be THE PATENT (print or typ data will appear on the p T a substitute for filing an (B) RESIDENCE: (CTTY	3 registered patent vely, e firm (having as a sigent) and the name meys or agents. If n printed.	attorneys member a s of up to o name is e is ident	tified below, the de	ocument has been filed for
Please check the appropr	iate assignee category or	categories (will not be pr	rinted on the patent):	Individual 🚨 Cor	rporation	or other private gro	up entity Government
Advance Order -	wo small entity discount p	permitted)	b. Payment of Fee(s): (Plea A check is enclosed. Payment by credit can The Director is hereby overpayment, to Depo	d. Form PTO-2038	is attache	ed.	
- 11	s SMALL ENTITY state	is. See 37 CFR 1.27.	☐ b. Applicant is no long				
NOTE: The Issue Fee an interest as shown by the	d Publication Fee (if req records of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other than the Office.	he applicant; a regis	tered atto	rney or agent; or th	e assignee or other party in
Authorized Signature				Date			
Typed or printed name				Registration No			
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APPLICATION NO.	FI	LING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/541,647	11/18/2005		Torsten Balduf	5003073.066US1 3421	
29737	7590	07/23/2008		EXAMINER	
SMITH MOORE LLP			PUTILIIZ, KARL J		
P.O. BOX 21927			ART UNIT PAPER NUMBER		
GREENSBORO, NC 27420			1621		

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 303 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 303 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Notice of Allowability

Application No.	Applicant(s)			
10/541,647	BALDUF ET AL.			
Examiner	Art Unit			
KARL J. PUTTLITZ	1621			

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address-All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

- This communication is responsive to reply dated 6/18/2008.
- 2. The allowed claim(s) is/are 1-4 and 6-11.
- 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a)

 All b)

 Some* c)

 None of the:
 - 1. A Certified copies of the priority documents have been received.
 - 2. Certified copies of the priority documents have been received in Application No. _____
 - Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
 - * Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDOMMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- 4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
- 5. CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) Thereto or 2) to Paper No./Mail Date
 - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

- 1. Notice of References Cited (PTO-892)
- 2.
 Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3.
 ☐ Information Disclosure Statements (PTO/SB/08),
- Paper No./Mail Date 6/18/2008
- Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 5. Notice of Informal Patent Application
- Interview Summary (PTO-413), Paper No./Mail Date .
- 7. X Examiner's Amendment/Comment
- 8. X Examiner's Statement of Reasons for Allowance
- Other .

Page 2

Application/Control Number: 10/541,647

Art Unit: 1621

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Philip McCann on 7/5/2008.

Claim 1, after "isolating the product crystals" insert ", wherein the acidic monomer is (meth)acrylic acid".

Cancel claim 5.

The following is an examiner's statement of reasons for allowance:

The applied references, either singly, or in combination, fail to teach or suggest the required steps phase separation, with a phase boundary, or lowering the temperature of the phase system, and isolating the product crystals.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Karl J. Puttlitz whose telephone number is (571) 272-

Page 3

Application/Control Number: 10/541,647
Art Unit: 1621

0645. The examiner can normally be reached on Monday to Friday from 9 a.m. to 5 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Yvonne Eyler, can be reached at telephone number (571) 272-0871. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Karl J. Puttlitz/

Primary Examiner, Art Unit 1621